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FIG. 1

INPUT
DOCUMENT _202_

_204_ Scanned Input
Document?

YES NO

_206_ Perform OCR To Identify Text
In The Input Document Image(s)

_208_ Parse Input Document For Text
And Perform OCR On Any
Embedded Image(s) To Identify
Text In Embedded Image(s)

Identify Best Keywords In Tokenized Text _210_
(See FIG. 3)

Develop Query Using Best Keywords And Search
For Similar Documents Using Developed Query; _212_
Analyze Results And Repeat If Necessary
(See FIG. 4)

_214_ Results
Sufficient (Include
All Results If _218_
Performed)?

NO

YES

_216_ If (Last) Input
Document OCRed Or Input
Document Is A Partial Document,
Was There An Exact Match Detected But
Few Additional Documents
Identified?

YES _218_ Exact Match Document
Assigned To Be The Input
Document To Identify
Additional Documents

NO

_220_ Apply Services And
Summarize Search Results

_222_ Deliver Summary And Token Representation Of
Identified Document(s) Including Any Results
Of Services Performed

FIG. 2

206/208

210

Tokenize Extracted Text To Define A List Of Keywords — 302

Normalize List Of Keywords — 304

Initialize Weight Of All Keywords In List Of Keywords To A Predefined Value (e.g., $W_{T,D}=-1$) — 306

Delete All Keywords In The List Of Keywords That Are Identified As Stop Words — 308

310

For All Keywords In The List Of Keywords:
(A) Identify Keywords In DS Dictionary Of Words And The Phrases In Which They Are Used;
(B) Identify Combinations Of Keywords In The List That Satisfy The Longest Phrase;
(C) Determine The Frequency Of Occurrence Of Keywords And Phrases In The Document $F_{T,D}$;
(D) Set Linguistic Frequency Of Occurrence Of Keywords And Phrases To Predefined Small Value (e.g., $F_T=1$)

For All Keywords In The List Of Keywords:
(A) For Each Keyword In The List Identified In The DS Dictionary Of Words, Override Linguistic Frequency ($F_T=1$) With Value Found In The Linguistic Frequencies Of Keywords;
(B) For All Other Keywords Lookup In Database Of Linguistic Frequencies F_T For Keyword (If One Exists);
(C) Limit Number Of Occurrences Of Keywords To Maximum (e.g., If $F_{T,D}>2$ Then $F_{T,D}=2$)
(D) If $F_{T,D}$ And F_T Assigned To Keyword In The List, Compute Weight $W_{T,D}$

312

For All Keywords In The List Of Keywords That Do Not Exist In The Linguistic Frequencies Or In The DS Dictionary Of Words (e.g., $W_{T,D}=-1$): If Keyword Is Regular Expression Then Assign A Weight Of 1 (e.g., $W_{T,D}=1$) Else Cache And Remove Keyword From The List

314

Enough Keywords In The List Of Keywords (e.g., N<5)? — 316

YES

NO

Assign A Low Weight To Cached And Removed Keywords (e.g., $W_{T,D}=0.9$) — 318

212

FIG. 3

210

212

402 Define A List Of N (e.g., N=5) Best Keywords With The Greatest Weight And With A Maximum Of One Keyword That Was Only A DS Dictionary Keyword; Set A Keyword Threshold Weight To Lowest Weight In The List Of Best Keywords

404 Replace N (e.g., N=5) Keywords In The List Of Best Keywords With A Weight Lower Than The Keyword Threshold Weight And With A Maximum Of One Keyword That Was Only A DS Dictionary Keyword (Which May Have A Weight Greater Than The Keyword Threshold Weight); Adjust Keyword Threshold Weight To Lowest Weight In List Of Best Keywords

406 Develop Query Using List Of Best Keywords

408 Perform Query And Assemble Search Results

410 Compute Weights For An Extracted List Of Keywords From Assembled Search Results As Performed At 210 (See FIG. 3) For The Input Document

412 Compute Distance Measurement Between Input Document And Each Document In Search Results (See FIG. 5 And FIG. 6)

418 Perform Query Reduction By Removing One Keyword In List Of Best Keywords That Has Smallest Weight And Was Not Only A DS Dictionary Keyword

414 Enough Results With Distance Measurements Within Preset Threshold And Not Too Many Results? — YES

NO

416 Number Of Best Keywords In Query>2? — YES

NO

420 Keywords Remain In List With A Weight Lower Than The Keyword Threshold Weight? — YES

NO

214

FIG. 4

CALCULATE_SIMILARITY [D1,D2] -calculates the similarity between documents D1 and D2

- Input:
  - D1: List of keywords of the input document
  - D2: List of keywords of document from search results
- Output:
  - Similarity "S": The computed similarity between D1 and D2

{

502 — For the document D1, calculate with the keyword weights of D1:
- unique attributes sum: Sum1 = the sum of the weights of keywords in D1 that do not appear in D2
- total sum: Sum2 = the sum of the weights of keywords in D1
- shared sum: Sum3 = the sum of the weights of keywords in D1 that also appear in D2
- ratio: R = (the number of keywords in D1 not in D2)/(the number of keywords in D1)

504 — For the document D2, calculate with the keyword weights of D2:
- unique attributes sum: Sum4 = the sum of the weights of keywords in D2 that do not appear in D1
- shared sum: Sum5 = the sum of weights of keywords in D2 that also appear in D1

506 — If D1 originates from a hardcopy document, calculate the tolerance ratio "T":
K = a constant defined by OCR error rate at the keyword level, if no OCR error is detected, K is set to 0
T = K* (Sum2 – Sum3)/(Sum2)

508 — Calculate the inclusion ratio "I" (i.e., percentage of keywords from D1 that are in D2):
I = (Sum3)/(Sum2) + T

TO FIG. 6

FIG. 5

If I>90% (i.e., if an inclusion is detected, e.g., 90 % of the keywords from D1 are in D2):
Sum6 = **Ordered_Sum** [D1,D2] - sum of the weights of keywords in D1
with same neighbors in D2 (SEE FIG. 7)

- Calculate ordered inclusion ratio "I2":
  I2 = (Sum6)/(Sum2)
  if (I2>I) then S = I
  else
     if (D1 originates from a hardcopy document and I2>50%)
        if (R<20 %) then S = I else S = I2
     else S = I2

else (i.e., if no inclusion is detected):
- Calculate the Jaccard similarity distance measure:
  Sum7 = Sum1 + Sum4 + Sum5
  S = (Sum5) / (Sum7)
- If S>90% : (a revision is detected, i.e., Jaccard similarity S>90 %;
  otherwise a related document may be detected)
  - Sum8 = **Ordered_Sum** [D2,D1] - sum of the weights of keywords from D2
    with same neighbors in D1
  - Calculate ordered similarity:
    S2 = (Sum8) / (Sum1 + Sum4 + Sum8)
    if (S2 > S)
       if (D1 originates from a hardcopy document)
          if (S2>50%)
             if (R>20%) then S = S1
             else S = S1
          else S = S1
       else S = S1

}

FIG. 6

Ordered_Sum [L1, L2] - calculates sum of the weights of keywords from L1 with same neighbors in L2

- Input:
    - L1: List 1
    - L2: List 2
- Output
    - Sum: the ordered sum

600 { Define the tolerance "T" minimal percentage for neighbors :
T = K*50%, where K depends on the OCR error at the keyword level

for each term t (i.e., keyword) of L1:
identify all possible positions Pi of term t in L2
if (t exists in L2)
identify N neighbors on both sides of term t in L1
(by default N=5 and depends on the position of the term t in the L1)

602 { for each position Pi of term t found in L2:
if the position Pi of the term t is at a limit of L2: (Pi<N or Pi>(L2 size-N))
increase the ordered sum with the weight of term t in L1: Sum += Wt

else
606 { identify N neighbors on both sides of term t in L2
Calculate the percentage of common neighbors "C" of term t between L1 and L2
if (C > 80% - T)   increase the ordered sum with the weight of term t in L1: Sum += Wt

604 { else
increase the ordered sum with the weight of term t in L1: Sum += Wt
}

FIG. 7

CopyFinder

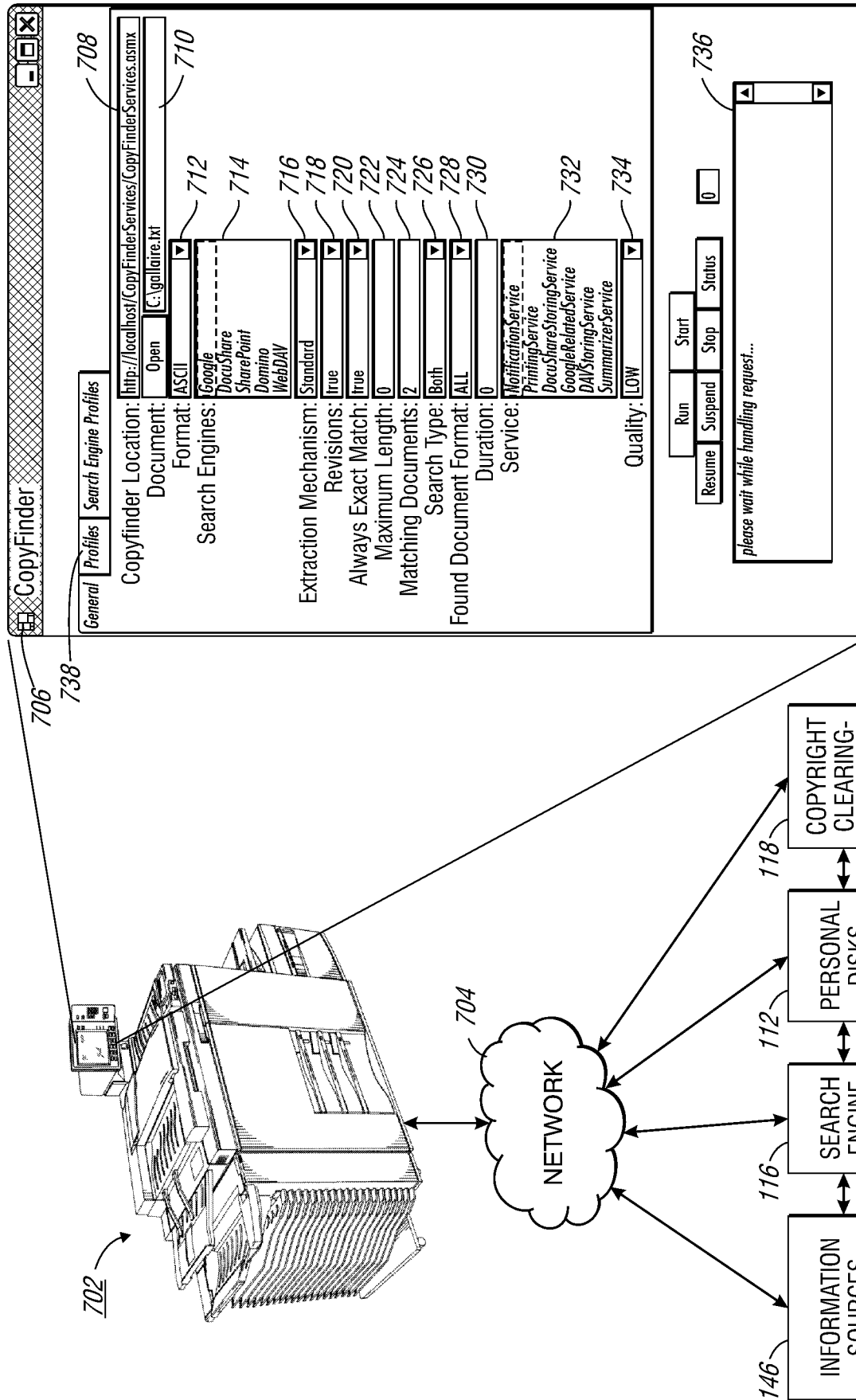General | Profiles | Search Engine Profiles

Copyfinder Location: http://localhost/CopyFinderServices/CopyFinderServices.asmx — 708

Document: Open C:\lgallaire.txt — 710

Format: ASCII — 712

Search Engines:
Google
DocuShare
SharePoint
Domino
WebDAV — 714

Extraction Mechanism: Standard — 716
Revisions: true — 718
Always Exact Match: true — 720
Maximum Length: 0 — 722
Matching Documents: 2 — 724
Search Type: Both — 726
Found Document Format: ALL — 728
Duration: 0 — 730

Service:
NotificationService
PrintingService
DocuShareStoringService
GoogleRelatedService
DAVStoringService
SummarizerService — 732

Quality: LOW — 734

Run Start
Suspend Stop
Resume Status

0 — 736

please wait while handling request...

738
706

702

704

NETWORK

146 INFORMATION SOURCES

116 SEARCH ENGINE

112 PERSONAL DISKS

118 COPYRIGHT CLEARING-HOUSE

FIG. 8